

Substitute form 1449/APTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **B1** of **B1****Complete if Known**

Application Number	10/792,339
Filing Date	March 3, 2004
First Named Inventor	Klein et al.
Group Art Unit	1617
Examiner Name	Shengjun Wang
Attorney Docket Number	9399-3

U.S. PATENTS AND PATENT PUBLICATIONS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
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	2	US-4,205,077		Aufderhaar et al.	05-27-1980
	3	US-4,197,307		Gallay et al.	04-08-1980
	4	US-4,153,723		Drabek et al.	05-08-1979
	5	US-4,151,298		Drabek et al.	04-24-1979
	6	US-4,001,254		Schmid et al.	01-04-1977
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	8	US-3,934,017		Gallay et al.	01-20-1976
	9	US-3,929,806		Schmid et al.	12-30-1975
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
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/NWS/	12	WO	96/29312	A1	Orion Electric Co., Ltd.	09-26-1996	text

OTHER NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T

Examiner Signature

/Nathan Schlientz/

Date Considered

09/13/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)				Attorney Docket Number 9399-3		Serial No. 10/792,339	
				Applicants: Klein et al.			
				Filing Date March 3, 2004		Group 1617	
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/NWS/	A2.	3,085,935	04/16/63	Phillips et al.	167	53	
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		Document Number	Date	Country	Class	Subclass	Translation Yes No
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/NWS/	A6.	Baldwin et al., "Rectification and Nonlinear Optical Properties of a Langmuir - Blodgett Monolayer of a Pyridinium Dye," <i>J. Phys. Chem. B</i> , 06: 12158-12164 (2002).					
/NWS/	A7.	International Search Report and Written Opinion corresponding to PCT/US2004/006437; Date of Mailing: October 7, 2004.					

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DATE CONSIDERED 09/13/2007

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